

## Midterm 2 Solutions

Each question is worth 5 points.

**Problem 1** For each  $n \in \mathbb{N}$ , let  $a_n$  be the number of permutations in  $S_n$  whose cube is the identity permutation. Prove combinatorially that  $a_{n+3} = a_{n+2} + (n+2)(n+1)a_n$  for every  $n \in \mathbb{N}$ .

*Solution.* For each  $n \in \mathbb{N}$ , let  $T_n$  be the set of permutations in  $S_n$  whose cube is the identity. Let  $(c_1 c_2 \dots c_\ell)$  be a cycle of length greater than 1 in the disjoint cycle decomposition of  $\pi \in T_n$ . Note that  $\ell \neq 2$  as otherwise  $\pi^3(c_1) = c_2$ , and this cannot happen because  $\pi^3$  is the identity permutation. In addition,

$$c_3 = \pi(c_3) = \pi^2(c_2) = \pi^3(c_1) = c_1,$$

which implies that  $\ell = 3$ . Thus, every cycle in the disjoint cycle decomposition of  $\pi$  has length either 1 or 3. The subset of  $T_{n+3}$  whose elements have  $(n+3)$  as a cycle is in bijection with  $T_{n+2}$ , where the bijection consists in dropping the cycle  $(n+3)$ . On the other hand, we can construct any permutation in  $T_{n+3}$  in which the cycle containing  $n+3$  has length greater than one (that is, has length 3) as follows: construct the three-cycle  $(i \ j \ n+3)$  containing  $n+3$  in  $(n+2)(n+1)$  different ways (choosing an ordered pair  $(i, j)$  with  $i \neq j$  from the set  $[n+2]$ ), and then make the set  $[n+2] \setminus \{i, j\}$  into a cycle type decomposition in  $a_n$  different ways. Hence  $a_{n+3} = a_{n+2} + (n+2)(n+1)a_n$ , as desired.  $\square$

**Problem 2** Suppose that we have  $n$  distinguishable standard dice. A roll of the  $n$  dice is called complete if each of the numbers 1, 2, 3, 4, 5, 6 appears on the face of at least one die. For instance, if  $n = 7$ , then the roll  $(5, 3, 1, 2, 4, 5, 6)$  is complete while the roll  $(3, 5, 4, 3, 5, 1, 1)$  is not complete because 2 and 6 do not appear on any face. Let  $d_n$  be the number of complete rolls of the  $n$  dice. It is clear that if  $n \in [5]$ , then  $d_n = 0$ . Find a formula for  $d_n$  for  $n \geq 6$  (summation signs are allowed).

*Solution.* For each  $j \in [6]$ , let  $A_j$  be the set of rolls of the  $n$  dice in which  $j$  does not show (in any of the  $n$  faces up). Observe that if  $S$  is a  $k$ -subset of  $[6]$ , then  $|\bigcap_{j \in S} A_j| = (6-k)^n$ . Then it follows from the Sieve Method that

$$\begin{aligned} \left| \bigcup_{n=1}^6 A_n \right| &= \sum_{\emptyset \neq S \subseteq [6]} (-1)^{|S|+1} \left| \bigcap_{j \in S} A_j \right| = \sum_{k=1}^6 \sum_{S \subseteq [6]: |S|=k} (-1)^{|S|+1} \left| \bigcap_{j \in S} A_j \right| \\ &= \sum_{k=1}^6 \binom{6}{k} (-1)^{k+1} (6-k)^n. \end{aligned}$$

It is clear that a roll is complete precisely when it does not belong to any of the sets  $A_j$ . Since there are a total of  $6^n$  possible outcomes for the rolls of the  $n$  dice, the number of complete rolls is

$$6^n - \left| \bigcup_{n=1}^6 A_n \right| = 6^n - \sum_{k=1}^6 \binom{6}{k} (-1)^{k+1} (6-k)^n = \sum_{k=0}^6 \binom{6}{k} (-1)^k (6-k)^n.$$

□

**Problem 3** Let  $(a_n)_{n \geq 0}$  be the sequence satisfying that  $a_{n+1} = (n+1)a_n + 2 \cdot (n+1)!$  for every  $n \geq 0$  and  $a_0 = 0$ .

1. (3 pts) Find a closed formula (no summation signs allowed) for the exponential generating function of  $(a_n)_{n \geq 0}$ .
2. (2 pts) Find an explicit formula for  $a_n$ .

*Solution.* 1. Let  $F(x) = \sum_{n=0}^{\infty} a_n \frac{x^n}{n!}$  be the exponential generating function of  $(a_n)_{n \geq 0}$ . From  $a_0 = 0$  and  $a_{n+1} = (n+1)a_n + 2 \cdot (n+1)!$ , we deduce that

$$\begin{aligned} F(x) &= \sum_{n=0}^{\infty} a_{n+1} \frac{x^{n+1}}{(n+1)!} = \sum_{n=0}^{\infty} (n+1)a_n \frac{x^{n+1}}{(n+1)!} + 2 \sum_{n=0}^{\infty} (n+1)! \frac{x^{n+1}}{(n+1)!} \\ &= x \sum_{n=0}^{\infty} a_n \frac{x^n}{n!} + 2x \sum_{n=0}^{\infty} x^n = xF(x) + \frac{2x}{1-x}. \end{aligned}$$

Therefore  $F(x) = \frac{2x}{(1-x)^2}$ .

2. In order to find an explicit formula for  $a_n$ , we use the generalized binomial theorem:

$$\begin{aligned} F(x) &= 2x(1-x)^{-2} = 2x \sum_{n=0}^{\infty} \binom{-2}{n} (-x)^n \\ &= 2x \sum_{n=0}^{\infty} (-1)^n \frac{(-2)(-3) \cdots (-2-n+1)}{n!} x^n \\ &= 2x \sum_{n=0}^{\infty} \frac{(n+1)!}{n!} x^n = 2 \sum_{n=0}^{\infty} (n+1)x^{n+1} \\ &= \sum_{n=1}^{\infty} 2nx^n. \end{aligned}$$

Thus,  $a_n/n! = 2n$ , which means that  $a_n = 2n \cdot n!$ .

□

**Problem 4** For each  $n \in \mathbb{N}$ , let  $f_n$  be the number of ways to split a line of  $n$  soldiers into nonempty sub-intervals, create a platoon out of each resulting sub-interval, and choose a commander in each platoon. Assume that  $f_0 = 1$ . Find a closed formula for the (ordinary) generating function of  $(f_n)_{n \geq 0}$ .

*Solution.* Consider the sequence  $(a_n)_{n \geq 0}$ , where  $a_0 = 0$  and  $a_n$  is the number of ways to create a platoon with a leader out of a sub-interval consisting of  $n$  soldiers. If  $A(x)$  is the (ordinary) generating function of  $(a_n)_{\geq 0}$ , we obtain that

$$A(x) = \sum_{n=1}^{\infty} nx^n = x \sum_{n=0}^{\infty} nx^{n-1} = x \frac{d}{dx} \left( \sum_{n=0}^{\infty} x^n \right) = x \frac{d}{dx} \left( \frac{1}{1-x} \right) = \frac{x}{(1-x)^2}.$$

Let  $B(x)$  be the (ordinary) generating function corresponding to building the trivial structure (that is, doing nothing) on the set of all created platoons. Then

$$B(x) = \sum_{n=0}^{\infty} x^n = \frac{1}{1-x}.$$

Hence if  $F(x)$  is the ordinary generating function of  $(f_n)_{n \geq 0}$ , then it follows from the composition theorem for (ordinary) generating functions that

$$F(x) = \sum_{n=0}^{\infty} f_n x^n = B(A(x)) = \frac{1}{1 - A(x)} = \frac{(1-x)^2}{(1-x)^2 - x}.$$

□